

DOCKET NO.: MSFT-0184/154568.01

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Marco A. DeMello, et al.

Confirmation No.: 5019

Application No.: 09/604,541

Group Art Unit: 2132

Filing Date: June 27, 2000

Examiner: Zand, Kambiz

**For: METHOD AND SYSTEM FOR BINDING ENHANCED SOFTWARE
FEATURES TO A PERSONA**

EXPRESS MAIL LABEL NO: EL 999299809 US
DATE OF DEPOSIT: October 25, 2004

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR § 1.56 and in accordance with 37 CFR §§ 1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 CFR § 1.56(b).

- ☒ In accordance with § 1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of the above identified application as set forth in § 1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of request for continued examination under § 1.114, no additional fee is required.

☐ In accordance with § 1.97(c), this Information Disclosure Statement is being filed after the period set forth in § 1.97(b) above but before the mailing date of either a Final Action under § 1.116 or a Notice of Allowance under § 1.311, or before an action that otherwise closes prosecution in the application, therefore:

☐ Certification in Accordance with § 1.97(e) is attached; or

☐ The fee of \$180.00 as set forth in § 1.17(p) is attached.

☐ In accordance with § 1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with § 1.97(e); and the submission fee of \$180.00 as set forth in § 1.17(p).

☒ Copies of reference numbers **29, 40 thru 44, 58 thru 60, 71 thru 72, 78 thru 79** listed on the attached Form PTO-1449 are enclosed herewith.

☒ Copies of reference numbers **30 thru 39, 45 thru 57, 61 thru 70, 73 thru 76** on the attached Form PTO 1449 are not required to be submitted pursuant to 37 CFR § 1.98(a)(2)(i).

☐ Copies of references - are not being submitted because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application number , filed for which a claim for priority under 35 U.S.C. § 120 has been made in the instant application.


There are no listed references which are not in the English language.

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PATENT

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050. This form is submitted in duplicate.

Date: 10-25-09



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Form PTO-1449 Modified List of Patent and Publications Cited by Applicant (Use several sheets if necessary) U.S. Department of Commerce Patent and Trademark Office	Docket No. MSFT-0184/154568.01	Application No. 09/604,541
	Applicant Marco A. DeMello, et al.	
	Filing Date June 27, 2000	Group 2132
	Confirmation No. 5019	

U. S. PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Name	Class	Subclass
	73	5,530,235	06/25/96	Stefik, et al.	235	492
	74	5,625,693	04/29/97	Rohatgi, et al.	380	23
	75	6,199,053 B1	03/06/01	Herbert, et al.	705	76
	76	6,331,865 B1	12/18/01	Sachs, et al.	345	776

FOREIGN PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO
	78	WO 98/44402	10/08/98	PCT		
	79	WO 99/45491	09/10/99	PCT		

EXAMINER**DATE CONSIDERED**



Sheet 1 of 5

Form PTO-1449 Modified List of Patent and Publications Cited by Applicant (Use several sheets if necessary) U.S. Department of Commerce Patent and Trademark Office	Docket No. MSFT-0184/154568.01	Application No. 09/604,541
	Applicant Marco A. DeMello, et al.	
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
	29	Jaeger, T., "Flexible Control of Downloaded Executable Content", <i>ACM Transactions on Information and System Security</i> , 1999 , 2(2), 177-228
EXAMINER		DATE CONSIDERED



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U. S. PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Name	Class	Subclass
	30	2002/0002611 A1	01/03/02	Vange, Mark	709	223
	31	5,708,780	01/13/98	Levergood, et al.	395	200.12
	32	5,809,145	09/15/98	Slik, et al.	380	25
	33	5,883,955	03/16/99	Ronning	380	4
	34	6,226,618 B1	05/01/01	Downs, et al.	705	1
	35	6,385,596 B1	05/07/02	Wiser, et al.	705	51
	36	6,425,017 B1	07/23/02	Dievendorff, et al.	709	315
	37	6,606,604 B1	08/12/03	Dutta, Rabindranath	705	26
	38	6,629,138 B1	09/30/03	Lambert, et al.	709	224
	39	6,718,361 B1	04/06/04	Basani, et al.	709	201

FOREIGN PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO
	40	EP 0 795 809 A2	09/17/97	EPO		
	41	WO 00/75760 A1	12/14/00	PCT		
	42	WO 96/42041	12/27/96	PCT		
	43	WO 98/45768	10/15/98	PCT		
	44	WO 99/63416	12/09/99	PCT		

EXAMINER

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Examiner Initial		Document No.	Date	Name	Class	Subclass
	45	2001/0011238 A1	08/02/01	Eberhard, et al.	705	27
	46	5,222,134	06/22/93	Waite, et al.	380	4
	47	5,509,070	04/16/96	Schull	380	4
	48	5,724,425	03/03/98	Chang, et al.	380	25
	49	5,734,823	03/31/98	Saigh	395	200.06
	50	5,734,891	03/31/98	Saigh	395	610
	51	5,933,498	08/03/99	Schneck, et al.	380	4
	52	5,970,475	10/19/99	Barnes, et al.	705	27
	53	5,999,622	12/07/99	Yasukawa, et al.	380	4
	54	6,006,332	12/21/99	Rabne, et al.	713	201
	55	6,021,492	02/01/00	May, Gregory, J.	713	200
	56	6,035,403	03/07/00	Subbiah, et al.	713	201
	57	6,298,446 B1	10/02/01	Schreiber, et al.	713	201

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Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO
	58	EP 0 778 512 A2	06/11/97	EPO		
	59	EP 0 843 449 A2	05/20/98	EPO		
	60	WO 96/24092	08/08/96	PCT		
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Examiner Initial		Document No.	Date	Name	Class	Subclass	
	61	2002/0069265 A1	06/06/02	Bountour, et al.	709	219	
	62	4,924,378	05/08/90	Hershey, et al.	364	200	
	63	5,654,746	08/05/97	McMullan, Jr. et al.	348	6	
	64	5,666,411	09/09/97	McCarty, Johnnie C	380	4	
	65	5,742,677	04/21/98	Pinder, et al.	380	242	
	66	5,784,609	07/21/98	Kurihara, A.	395	609	
	67	5,983,273	11/09/99	White, et al.	709	229	
	68	5,991,402	11/23/99	Jia, et al.	380	9	
	69	6,029,046	02/22/00	Khan, et al.	455	6.2	
	70	6,067,582	05/23/00	Smith, et al.	710	5	
FOREIGN PATENT DOCUMENTS							
Examiner Initial		Document No.	Date	Country	Translation		
					YES	NO	
	71	WO 98/58306	12/23/98	PCT			
	72	WO 99/55055	12/28/99	PCT			
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EXPRESS MAIL LABEL NO.: EL 999299809 US

Date of Deposit: October 25, 2004

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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

COMMUNICATION

- ☒ Applicant wishes to advise the examiner that applications #'s 09/604,564, 09/604,944, 09/604,939, 09/604,946, 09/604,174, 09/604,636, 09/604,540, 09/604,222, 09/604,541, 09/604,518, 09/604,543 all filed June 27, 2000 and application #'s 10/858,890 filed June 2, 2004, 10/871,739 filed June 18, 2004, and MSFT-4470-Serial number not yet assigned filed September 17, 2004 are pending. Some of these applications share substantially identical specifications, or have specifications that contain overlapping material. Moreover, some of the applications have overlapping sets of inventors.